

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL**
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

In re prior PATENT APPLICATION of
Inventor(s): Katsuhisa SAWAZAKI et al.

Group Art Unit: 2815

Examiner: B. Baumeister

Appln. No. 09 | 522,832
Series Code | Serial No.

Filed: March 10, 2000

Atty. Dkt. PM 257760

T36-120877M/KOH

Parent M#

Client Ref

Hon. Commissioner for Patents
Box CPA
Washington, DC 20231

Date: January 29, 2002

**DO NOT USE THIS FORM (EXCEPT FOR DESIGNS)
IF PARENT WAS §111a filed on/after 5/29/00 or a
§371 if it's IA WAS FILED ON/AFTER 5/29/00; USE
PAT-252 (RCE) if poss. or PAT-108**

This is a request for a ☒ continuation ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of the above prior application number, entitled
GROUP III NITRIDE COMPOUND SEMICONDUCTOR LIGHT-EMITTING DEVICE

ATTENTION

NOTES

FILING QUALIFICATIONS: The prior application identified above cannot have been filed on/after 5/29/00 but must be (1) a nonprovisional application filed before 5/29/00 that is complete as defined by 37 CFR 1.51(b), or (2) the national stage (of an international application filed before 5/29/00) in compliance with 35 U.S.C. 371, and is neither abandoned nor its proceedings terminated and its issue fee has not been paid (unless item 6A below is X'd).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☒ Enter the unentered amendment previously filed on November 29, 2001 in the prior nonprovisional application. (Include claim fees on page 2).
2. ☐ A preliminary amendment is enclosed. (See page 3 for additional claims fees).
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 1. _____
 2. _____
 3. _____
 4. _____
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney is enclosed.
5. Information Disclosure Statement is enclosed including:

<input type="checkbox"/> IDS Letter	<input type="checkbox"/> Cited Appln(s).	<input type="checkbox"/> Foreign Search Report/OA
<input type="checkbox"/> PTO-1449,		<input type="checkbox"/> Cited Documents

6. ☐ **PRELIMINARY AMENDMENT** to be entered before fee calculation (Do not make amendments here except cancellation of whole claims or multiple dependencies for purpose of reducing the filing fee (on page 2) per MPEP § 506 and 607; do not cancel all claims.):
- 6A. ☐ The issue fee has been paid in the parent, but this CPA Request is based on a Rule 313(c)(3) petition and Rule 53(d)(1)(ii)(A).
- 6B. ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)
7. ☐ Please suspend action under Rule 103(b) for a period of _____ Months (limited to 3 months maximum)

FILING FEE

THE FOLLOWING FILING FEE IS BASED ON THE CLAIMS
EXISTING IN THE PRIOR APPLICATION AS AMENDED AT 1 & 6 ABOVE

8. Small Entity Status ☐ is Not claimed ☐ is claimed (pre-filing confirmation required)

		Large/Small Entity		Fee Code
9. Basic filing fee -----	<input checked="" type="checkbox"/>	Utility Appln. -- \$740/\$370	\$ 740	(131/231)
	<input type="checkbox"/>	Design Appln. -- \$330/\$165	\$ 0	(132/232)
	<input type="checkbox"/>	Plant Appln. -- \$510/\$255	\$ 0	(133/233)
	<input type="checkbox"/>	Reissue Appln. -- \$740/\$370	\$ 0	(134/234)

10. (reserved)

11. Total Effective Claims $\frac{4}{1}$ minus 20 = * $\frac{0}{0}$ x $\frac{\$18/\$9}{\$84/\$42} = + \frac{0}{0}$ (103/203)
12. Independent Claim $\frac{1}{1}$ minus 3 = * $\frac{0}{0}$ x $\frac{\$18/\$9}{\$84/\$42} = + \frac{0}{0}$ (102/202)

*If answer is zero or less, enter "0"

13. If any proper (ignore improper) multiple dependent claim is present, ☐ add \$280/\$140 + $\frac{0}{0}$ (104/204)

14. Original Due Date: November 29, 2002 ☐ None

15. Petition is hereby made to extend the original due date to cover the date this CPA is filed for which the requisite fee is attached
- | | | |
|-------------------------|--------|-----------|
| (1mo)- \$110/\$55= \$ | | (115/215) |
| (2mos)- \$400/\$200= \$ | \$ 400 | (116/216) |
| (3mos)- \$920/\$460= \$ | | (117/217) |

16. Enter any previous extension fee paid since above original due date (item 14) and subtract - \$ 0

17. EXTENSION FEE ATTACHED \$ 0

18. TOTAL FILING FEE = \$ 1140

19. If box 7 above is X'd, ----- add required fee (\$130) + $\frac{0}{0}$ (122)

20. FEE ATTACHED = \$ 1140
(carry forward to line 27)

01/30/2002 JBA INMAN 00000158 09522832

01 EC:116

400.00 OP

(FOR AMENDMENT FEES RE ITEM 2 ABOVE SEE NEXT PAGE)

21. ☐ ATTACHED:22. **ADDITIONAL FEE CALCULATION FOR PRELIMINARY AMENDMENT
TO BE ENTERED (PER ITEM 2 ABOVE)**

	Claims remaining after amendment		Highest number previously paid for		Present Extra		Additional Fee		Fee Code
						<u>Large/Small Entity</u>			
23. Total Effective Claims	<u>0</u>	minus**	<u>0</u>	= *	<u>0</u>	x \$18/\$9	= \$	<u>0</u>	(103/203)
24. Independent Claims	<u>0</u>	minus***	<u>0</u>	= *	<u>0</u>	x \$84/\$42	= +	<u>0</u>	(102/202)
25. If amendment enters proper multiple dependent claim(s) into this application for the first time, ----- <input type="checkbox"/> add 140280\$280/\$140 (per application)							+	<u>0</u>	(104/204)
26.						ADDITIONAL FEE	\$	<u>0</u>	
27.						plus FEE from item 20 on page 3	+	<u>1140</u>	
28.						<u>TOTAL FEE ATTACHED</u>	\$	<u>1140</u>	

29. *If the entry in the first space is less than entry in the middle space, the "Present Extra" result is "0".

30. **If the "Highest number previously paid for" (see item 11 above) is less than 20, write "20" in this space.

31. ***If the "Highest number previously paid for" (see item 12 above) is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975

Our Order No.	<u>51273</u>	<u>257760</u>
	C#	M#

32. **CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: John P. DarlingReg. No. 44,482Sig: Fax: (703) 905-2500Tel: (703) 905-2045

Atty./Sec. JPD/VPH:ksh

NOTE: No. 1: File this Request in duplicate with PTO receipt (PAT-103A) & attachments.**NOTE: No. 2:** Is extension necessary for copendency? **DOUBLE CHECK** Item 14 above.